

**U.S. District Court**  
**District of Columbia (Washington, DC)**  
**CIVIL DOCKET FOR CASE #: 1:19-cv-01203-BAH**

WARREN v. SECURITIES AND EXCHANGE COMMISSION Date Filed: 04/18/2019

Assigned to: Chief Judge Beryl A. Howell

Cause: 05:552 Freedom of Information Act

Jury Demand: None

Nature of Suit: 540 Prisoner Petition:

Mandamus & Other (Other)

Jurisdiction: U.S. Government Defendant

**Plaintiff**

**DANIEL WARREN**

represented by **DANIEL WARREN**  
R#NL6620  
SCI PHEONIX  
1200 Mokychie Drive  
Collegeville, PA 19426  
PRO SE

V.

**Defendant**

**SECURITIES AND EXCHANGE  
COMMISSION**

represented by **Carin Marie Cozza**  
U.S. SECURITIES AND EXCHANGE  
COMMISSION  
Office of General Counsel  
100 F Street, NE  
Mail Stop 9613  
Washington, DC 20549  
(202) 551-7958  
Email: [cozzac@sec.gov](mailto:cozzac@sec.gov)  
**LEAD ATTORNEY**  
**ATTORNEY TO BE NOTICED**

Date Filed	#	Docket Text
04/18/2019		<b>Initiating Pleading &amp; IFP Application Received on 04/18/2019.</b> A copy of the docket sheet has been mailed to the address of record for the pro se party. (zsb) (Entered: 04/25/2019)
04/18/2019	<u>1</u>	COMPLAINT against SECURITIES AND EXCHANGE COMMISSION filed by DANIEL WARREN.(zsb) (Entered: 04/25/2019)
04/18/2019	<u>2</u>	MOTION for Leave to Proceed in forma pauperis by DANIEL WARREN (zsb) (Entered: 04/25/2019)
04/18/2019	<u>3</u>	PRISONER TRUST FUND ACCOUNT STATEMENT for period of six months by DANIEL WARREN (zsb) (Entered: 04/25/2019)
05/17/2019	<u>4</u>	ORDER directing an initial partial filing fee in the amount of \$45.29 from Prison Account of DANIEL WARREN and granting MOTION for Leave to Proceed In Forma Pauperis (see order for full details). Pro Se party has been notified by first class mail. Signed by Judge Colleen Kollar-Kotelly on 05/16/2019. (zsb) (Entered: 05/23/2019)
05/17/2019		Case Assigned to Chief Judge Beryl A. Howell. (zsb) (Entered: 05/23/2019)
05/24/2019	<u>5</u>	SUMMONS (3) Issued as to SECURITIES AND EXCHANGE COMMISSION, U.S. Attorney and U.S. Attorney General (zmc) (Entered: 05/24/2019)
06/10/2019	<u>6</u>	RETURN OF SERVICE/AFFIDAVIT of Summons and Complaint Executed on United States Attorney General. Date of Service Upon United States Attorney General 5/29/2019., RETURN OF SERVICE/AFFIDAVIT of Summons and Complaint

		Executed as to the United States Attorney. Date of Service Upon United States Attorney on 5/29/2019. ( Answer due for ALL FEDERAL DEFENDANTS by 6/28/2019.) (zrdj) (Entered: 06/11/2019)
06/12/2019	<u>7</u>	Mail Returned as Undeliverable; Sent to DANIEL WARREN; Type of Document Returned: Docket Sheet; Resent at New Address: None. (zrdj) (Entered: 06/14/2019)
06/21/2019	<u>8</u>	NOTICE of Appearance by Carin Marie Cozza on behalf of SECURITIES AND EXCHANGE COMMISSION (Cozza, Carin) (Entered: 06/21/2019)
06/21/2019	<u>9</u>	First MOTION for Extension of Time to File Answer by SECURITIES AND EXCHANGE COMMISSION (Cozza, Carin) (Entered: 06/21/2019)
06/26/2019		MINUTE ORDER granting <u>9</u> the Defendant's Motion for an Extension of Time to July 29, 2019, to answer or otherwise respond to the Complaint. SO ORDERED. Signed by Chief Judge Beryl A. Howell on 6/26/19.(ah) (Entered: 06/26/2019)
06/26/2019		Set/Reset Deadlines: Defendant's answer to the Complaint due by 7/29/2019. (hmc) (Entered: 06/26/2019)
07/15/2019	<u>10</u>	RETURN OF SERVICE/AFFIDAVIT of Summons and Complaint Executed. SECURITIES AND EXCHANGE COMMISSION served on 7/2/2019 (zrdj) (Entered: 07/16/2019)
07/25/2019	<u>11</u>	ANSWER to <u>1</u> Complaint by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Exhibit Exhibit 1 – FOIA request, # <u>2</u> Exhibit Exhibit 2 – FOIA response, # <u>3</u> Exhibit Exhibit 3 – FOIA appeal, # <u>4</u> Exhibit Exhibit 4 – FOIA appeal response)(Cozza, Carin) (Entered: 07/25/2019)